



Developing an Effective Internal Compliance Programme

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BEST PRACTICES IN TRADE FACILITATION

Exemption Reg. 25 (Transit & Transshipment)

Industry Consultation

Internal Compliance Programme

Online Permit Application

Strategic Item Finder

Dedicated email and portal

admin.sts@miti.gov.my

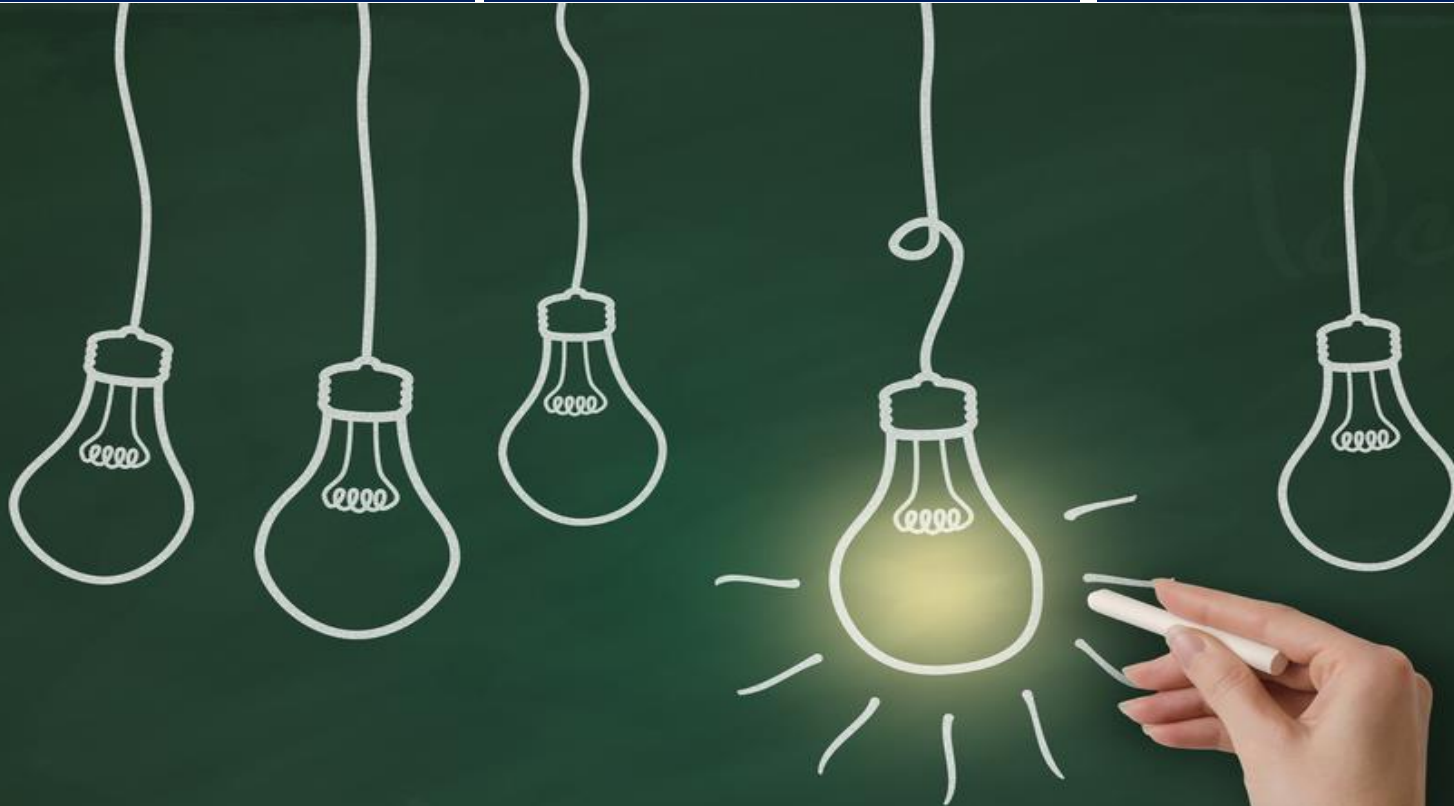
<http://www.miti.gov.my/>

WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements



WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements

- It is a set of **procedures** to be implemented **within a company** on transactions involving strategic items
- Pre requisite for **multiple or bulk permit**
- Commitment in **ensuring company's compliance** with STA and associated Regulations
- The Controls can be done manually or electronically

Single (6 months)

Multiple (2 years)

Bulk (2 years)

Special (1 year)

WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements

- 2 years validity of approved permit
- Increase clients/importers' confidence when dealing with shipment dates
- Increase export compliance within organisation
- Time and cost saving
- Ability to include additional importer/ end user
- It has no expiry !!!
(but updates will be required)



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Why have one?

Mandatory Elements



Management
Commitment

Screening
Process

Record
Keeping

Training

Audit

WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements

- Written company policy
- Awareness within the organisation (not limited to logistic/shipping department)
- Nomination of person(s) responsible for
 - export control and
 - applying for permit
- Clause of export control in contract



**Management
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WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements

- Mechanism to screen product, End User, End Use and Destination



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WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements

- All records related to transaction of strategic items
- Kept in hardcopy/softcopy
- Maintained for 6 years
- Tracking of remainder quantity and value



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WHAT YOU NEED TO KNOW

What is it?

Why have one?

Mandatory Elements

- Training plan - export control personnel (at least once in 18 months)
- Incorporate general element of export control (other employees)
- Training materials and details of training recorded



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WHAT YOU NEED TO KNOW

What is it?	Why have one?	Mandatory Elements		
<ul style="list-style-type: none">• Conducted annually (external or internal)• Audit is focused on export control process and procedures• Findings recorded• Corrective action and recommendation measures undertaken				
Management Commitment	Screening Process	Record Keeping	Training	Audit

